

**HRT Financial LP**

**Statement of Financial Condition**

December 31, 2020

**(in thousands)**

**Assets**

|   |                      |
|---|----------------------|
| Cash and cash equivalents   | \$ 210,967           |
| Collateralized agreements:  |                      |
| Securities borrowed   | 1,860,262            |
| Securities purchased under agreements to resell                       | 21,000               |
| Receivable from broker-dealers, exchanges, and clearing organizations | 2,596,956            |
| Financial instruments owned, at fair value                            | 5,576,687            |
| Other assets  | 9,849                |
| Total assets  | <u>\$ 10,275,721</u> |

**Liabilities and Partners' Capital**

|  |                      |
|--|----------------------|
| Due to affiliates  | \$ 18,821            |
| Payable to broker-dealers, exchanges, and clearing organizations | 138,784              |
| Collateralized agreements:                                       |                      |
| Securities loaned  | 3,057,922            |
| Securities sold under agreements to repurchase                   | 1,048,853            |
| Financial instruments sold, not yet purchased, at fair value     | 4,293,872            |
| Accounts payable and accrued liabilities                         | 17,712               |
| Total liabilities  | <u>\$ 8,575,964</u>  |
| Partners' capital  |                      |
| Total partners' capital  | <u>1,699,757</u>     |
| Total liabilities and partners' capital                          | <u>\$ 10,275,721</u> |

*See accompanying notes to financial statement.*